



# FACT SHEET

## Industry

Financial Services

## Founded

1973

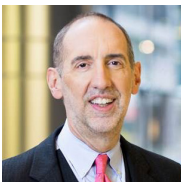
## Locations

Chicago, Illinois  
Dallas, Texas  
Washington, D.C.

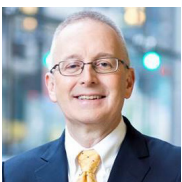
## Leadership



**Craig S. Donohue**  
Executive Chairman



**John P. Davidson**  
Chief Executive Officer



**Scot E. Warren**  
Executive Vice President  
& Chief Operating Officer

## ABOUT OCC

OCC is the only central counterparty for all U.S. exchange-listed options trades – something we have been doing for more than 45 years. We work to manage risk for those involved in options trading by being the buyer to every seller and the seller to every buyer. By providing this stability and market integrity, we ensure confidence in the financial markets and the broader economy.

We also are the leading provider of unbiased, online educational content for users of these markets; whether it is individual investors who want to learn the basics or knowledgeable investors who want to use more complex options trading strategies.

This confidence in stability and education for the future contributes to why we call OCC the foundation for secure markets.

## 2019 BY THE NUMBERS

### Total Contracts Cleared

**4,976,978,704**

### Cleared Options Volume

**4,420,542,768**

Equity Contracts

### Cleared Futures Volume

**7,369,800**

Single Stock Futures Contracts

**478,493,791**

Index Contracts

**70,572,345**

Index/Other Futures Contracts

### Margin Held at 2019 Year End

**\$118,000,000,000**

## 2019/2020 HIGHLIGHTS

- Continued work on the Renaissance Initiative to modernize our risk management, clearing and data systems
- Cleared an average of 19.7 million contracts per day in 2019, the second-highest annual cleared volume for the U.S. equity derivatives industry
- Average loan balances for OCC's stock loan program reached \$72.5 billion in 2019
- Received regulatory approval of new Capital Management Policy
- Received regulatory approval of Phase II of Financial Safeguards Framework
- Named 2019 Best Clearing House by Markets Media

## Who We Serve

**≈100**

Clearing Members

**16**

Options Exchanges

**3**

Futures Exchanges

**3**

Regulators

**1**

Stock Loan Alternative  
Trading System

\* Beginning in October 2018, OCC adjusted its reporting of stock loan transactions and average daily loan value to better reflect prevailing industry standards. OCC now reports average daily loan value and number of transactions based only on loans; previous reporting was based on both loans and borrows.

No statement is to be construed as a recommendation to purchase or sell a security, or to provide investment advice. Options involve risk and are not suitable for all investors. Prior to buying or selling an option, a person must receive a copy of Characteristics and Risks of Standardized Options. Copies of this document may be obtained from your broker, from any exchange on which options are traded or by contacting The Options Clearing Corporation, 125 S. Franklin Street, Suite 1200, Chicago, IL 60606 (options@theocc.com).